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| Substitute Form PTO-1449<br>(Modified)   | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Docket No.<br>06275-004001   | Application No.<br>08/736,267 |
| <b>Information Disclosure Statement</b><br><b>by Applicant</b><br><b>JUL 16 2002</b> (use several sheets if necessary) |  | <b>Applicant</b><br><b>Kjell G.E. Bäckström et al.</b><br><b>Filing Date</b><br><b>October 24, 1996</b> |                               |
|  |  | <b>Group Art Unit</b><br><b>1653</b>  |                               |

7 CFR §1.98(b)(4)  
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### U.S. Patent Documents

| Examiner Initial | Desig. ID | Patent Number | Issue Date | Patentee        | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------|---------------|------------|-----------------|-------|----------|----------------------------|
| <i>DK</i>        | AA        | 2,992,645     | 07/18/61   | Fowler          | 128   | 208      |                            |
| <i>DK</i>        | AB        | 5,284,656     | 02/08/94   | Platz et al.    | 424   | 435      |                            |
| <i>DK</i>        | AC        | 5,349,947     | 09/27/94   | Newhouse et al. | 128   | 203.21   |                            |

### Foreign Patent Documents or Published Foreign Patent Applications

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation<br>Yes      No |
|------------------|-----------|-----------------|------------------|--------------------------|-------|----------|----------------------------|
| <i>DK</i>        | AD        | 8007820         | 11/86            | Sweden                   |       |          |                            |
| <i>DK</i>        | AE        | 1,242,211       | 08/11/71         | United Kingdom           |       |          |                            |
| <i>DK</i>        | AF        | 1,520,247       | 08/02/78         | United Kingdom           |       |          |                            |
| <i>DK</i>        | AG        | 1,569,611       | 06/18/80         | United Kingdom           |       |          |                            |
| <i>DK</i>        | AH        | WO 92/04069     | 03/19/92         | PCT                      |       |          |                            |

### Other Documents (include Author, Title, Date, and Place of Publication)

| Examiner Initial | Desig. ID | Document   |
|------------------|-----------|--|
| <i>DK</i>        | AI        | Goni et al., "Palmitoylcarnitine, a surface-active metabolite," FEBS Lett., Vol. 390, pp. 1-5, 1996.   |
| <i>DK</i>        | AJ        | Jaegfeldt, H. et al., "Particle size distribution from different modifications of Turbuhaler," Proceedings of an international workshop on a new inhaler, 21-22 May 1987 (London) pp. 90-99.                               |
| <i>DK</i>        | AK        | Lecluyse et al., "In Vitro Effects of Long-Chain Acylcarnitines on the Permeability, Transepithelial Electrical Resistance and Morphology of Rat Colonic Mucosa," J. Pharmacol. Exp. Ther., Vol. 265(2), pp. 955-62, 1993. |
| <i>DK</i>        | AL        | Okumura et al., "Intratracheal delivery of insulin absorption from solution and aerosol by rat lung," International Journal of Pharmaceutics, Vol. 88, pp. 63-73, 1992.  |
| <i>DK</i>        | AM        | Yamamoto et al., "Absorption Enhancement of Intrapulmonary Administered Insulin by Various Absorption...," J. Pharm. Pharmacol., Vol. 46, pp. 14-18, 1994.   |

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| Examiner Signature<br><i>David Littman</i>   | Date Considered<br>10/15/02 |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                             |